

Privacy Policy

CENTRAL TANSHI CO., LTD.

Our company mainly conducts business with corporate customers.

We handle the personal information and individual numbers (hereinafter collectively referred to as “Personal Information.”) obtained from our customers in accordance with this Privacy Policy.

1. Compliance with Relevant Laws and Regulations

Our company complies with all applicable laws and regulations, as well as guidelines and directives, concerning the protection of Personal Information.

2. Purposes of Use

Except where we have obtained the customer’s consent or where handling is exceptionally permitted under applicable laws and regulations, we will handle customers’ personal information only to the extent necessary to achieve the purposes of use set forth in the attached document.

As for individual numbers, we will handle them only within the scope permitted by laws and regulations.

3. Security Control Measures

We will implement necessary and appropriate security control measures for customers’ Personal Information, and are committed to ensuring proper oversight of all officers and employees who handle such information.

4. Continuous Improvement

To ensure the proper handling of customers’ Personal Information, we will strive to continuously improve our management system.

5. Inquiries and Requests

For information on procedures for requests made pursuant to applicable laws and regulations concerning the protection of Personal Information, as well as for any

questions, please contact your designated representative at our company.

When you make such a request, we will confirm your identity.

June 2026

[Contact Information for Inquiries Regarding the Above]

3-3-14 Nihonbashi-Hongokucho, Chuo-ku, Tokyo 103-0021 Japan

To: Personal Information Protection Act Desk, Legal Group, Central Tanshi Co., Ltd.

Tel: +81-3-3246-2651 (Business hours: 9:00 a.m. to 5:00 p.m. on weekdays)

Attachment

< Business Scope and Purpose of Use of Personal Information >

1. Business Activities

- 1) Dealing and broking of call loans and money
- 2) Dealing and broking of discounted bills
- 3) Purchase and sale of securities, underwriting and distribution of securities, and other activities that a money market broker, "Tanshi" is permitted to conduct under the Financial Instruments and Exchange Act
- 4) Borrowing and lending of securities and intermediation thereof
- 5) Holding of securities and utilization thereof
- 6) Purchase and sale of monetary claims (including negotiable certificates of deposit) and intermediation thereof
- 7) Other activities that a Tanshi is permitted to conduct pursuant to applicable laws and regulations
- 8) Activities incidental or ancillary to each of the foregoing items

2. Scope of Purposes of Use (except where the purpose of use is restricted by laws and regulations)

- 1) To solicit and sell financial instruments and provide information on services pursuant to the Financial Instruments and Exchange Act
- 2) To accept applications for opening accounts for various financial instruments and for applying for financial instruments and services

- 3) To verify the identity of customers and their representatives pursuant to laws and regulations
- 4) To confirm customers' eligibility and other qualifications to use financial instruments and services
- 5) To determine, in light of the suitability principle and other standards, the appropriateness of providing financial instruments and services
- 6) To report to customers on transaction results, account balances on deposit, and similar matters
- 7) To perform administrative tasks when entrusted in whole or in part with the processing of personal information by other business operators, etc.
- 8) To exercise rights and perform obligations under contracts with customers or pursuant to laws and regulations
- 9) To research and develop financial instruments and services through market research, questionnaires, and similar activities
- 10) To make various proposals concerning financial instruments and services, including through the sending of direct mail
- 11) To make various proposals concerning products and services of partner companies and other affiliated entities
- 12) For responding to customer inquiries and ensuring the smooth operation of our company website.
- 13) To otherwise appropriately and smoothly conduct transactions with customers